

# *Elementary Landscape Decomposition of the Test Suite Minimization Problem*



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# Test Suite Minimization

- **Given:**

- A set of test cases  $T = \{t_1, t_2, \dots, t_n\}$
- A set of program elements to be covered (e.g., branches)  $M = \{m_1, m_2, \dots, m_k\}$
- A coverage matrix

$$\mathbf{T} =$$

	$t_1$	$t_2$	$t_3$	...	$t_n$
$m_1$	1	0	1	...	1
$m_2$	0	0	1	...	0
...	...	...	...	...	...
$m_k$	1	1	0	...	0

$$T_{ij} = \begin{cases} 1 & \text{if element } m_i \text{ is covered by test } t_j \\ 0 & \text{otherwise} \end{cases}$$

- Find a subset of tests  $X \subseteq T$  maximizing coverage and minimizing the testing cost

- Binary representation:

$$x_i = \begin{cases} 1 & \text{if test } t_i \text{ is selected} \\ 0 & \text{otherwise} \end{cases}$$

$$\text{coverage}(x) = \sum_{i=1}^k \max_{j=1}^n \{T_{ij}x_j\}; \quad \text{ones}(x) = \sum_{j=1}^n x_j$$

$$f(x) = \sum_{i=1}^k \max_{j=1}^n \{T_{ij}x_j\} - c \cdot \text{ones}(x)$$

# Landscape Definition

- A **landscape** is a triple  $(X, N, f)$  where

- $X$  is the solution space
- $N$  is the neighbourhood operator
- $f$  is the objective function

The pair  $(X, N)$  is called **configuration space**

- The **neighbourhood operator** is a function

$$N: X \rightarrow P(X)$$

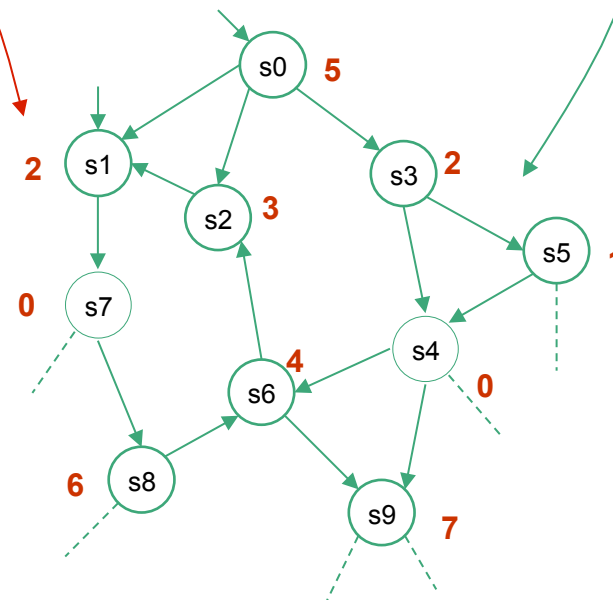
- Solution  $y$  is **neighbour of  $x$**  if  $y \in N(x)$

- **Regular and symmetric neighbourhoods**

- $d = |N(x)| \quad \forall x \in X$
- $y \in N(x) \Leftrightarrow x \in N(y)$

- **Objective function**

$$f: X \rightarrow R \text{ (or } N, Z, Q)$$



# Elementary Landscapes: Formal Definition

- An **elementary function** is an **eigenvector** of the graph Laplacian (plus constant)

Adjacency matrix

$$A_{xy} = \begin{cases} 1 & \text{if } y \in N(x) \\ 0 & \text{otherwise} \end{cases}$$

Degree matrix

$$D_{xy} = \begin{cases} |N(x)| & \text{if } x = y \\ 0 & \text{otherwise} \end{cases}$$

- Graph Laplacian:

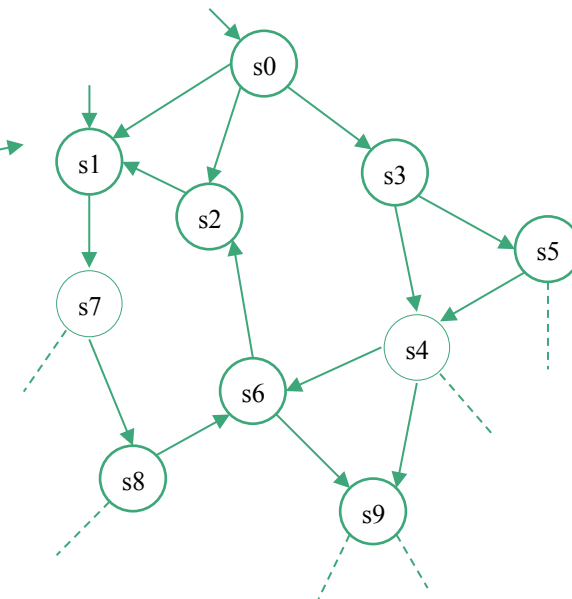
$$\Delta = A - D$$

Depends on the configuration space

- Elementary function: **eigenvector** of  $\Delta$  (plus constant)

$$(-\Delta) \times (\vec{f} - b) = \lambda \cdot (\vec{f} - b)$$

**Eigenvalue**



# Elementary Landscapes: Characterizations

- An **elementary landscape** is a landscape for which

$$\text{avg}_{y \in N(x)} \{f(y)\} = \alpha f(x) + \beta \quad \forall x \in X$$

Depend on the problem/instance

Linear relationship

where

$$\text{avg}_{y \in N(x)} \{f(y)\} \stackrel{\text{def}}{=} \frac{1}{d} \sum_{y \in N(x)} f(y)$$

$$\bar{f} = \frac{1}{|X|} \sum_{y \in X} f(y)$$

- **Grover's wave equation**

$$\text{avg}_{y \in N(x)} \{f(y)\} = f(x) + \frac{\lambda}{d} (\bar{f} - f(x))$$

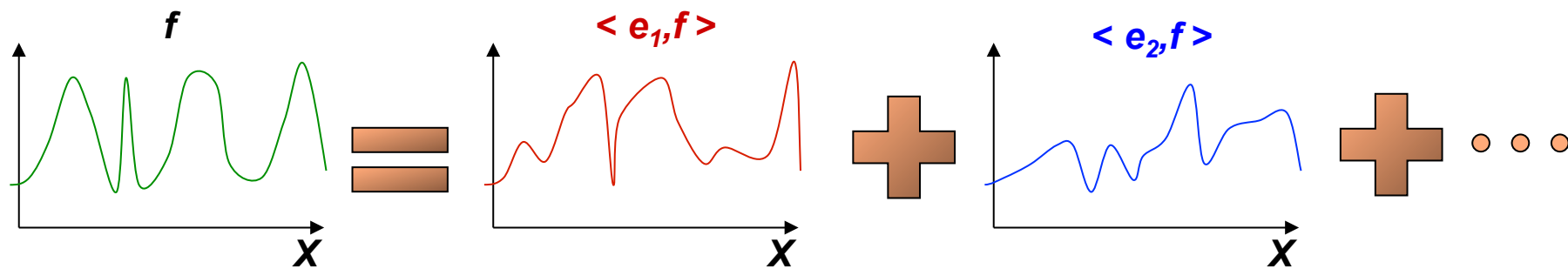
$$\alpha = 1 - \frac{\lambda}{d}$$

$$\beta = \frac{\lambda}{d} \bar{f}$$

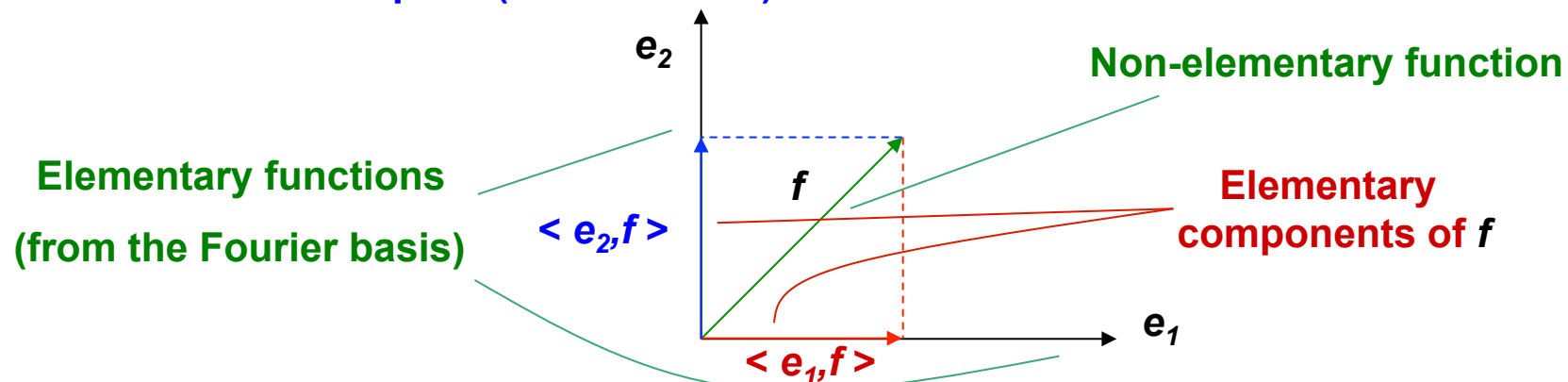
Eigenvalue

# Landscape Decomposition

- What if the landscape is **not elementary**?
- Any landscape can be written as the **sum of elementary landscapes**



- There exists a set of **eigenfunctions of  $\Delta$**  that form a basis of the function space (**Fourier basis**)



# Examples

## Elementary Landscapes

Problem	Neighbourhood	$d$	$k$
Symmetric TSP	2-opt	$n(n-3)/2$	$n-1$
	swap two cities	$n(n-1)/2$	$2(n-1)$
Graph $\alpha$ -Coloring	recolor 1 vertex	$(\alpha-1)n$	$2\alpha$
Max Cut	one-change	$n$	4
Weight Partition	one-change	$n$	4

## Sum of elementary Landscapes

Problem	Neighbourhood	$d$	Components
General TSP	inversions	$n(n-1)/2$	2
	swap two cities	$n(n-1)/2$	2
Subset Sum Problem	one-change	$n$	2
MAX k-SAT	one-change	$n$	$k$
QAP	swap two elements	$n(n-1)/2$	3
Test suite minimization	one-change	$n$	$\max  v_i $

# Binary Search Space

- The set of solutions  $X$  is the set of **binary strings** with length  $n$

0 1 0 0 1 0 1 1 1 0

- Neighborhood used in the proof of our main result: **one-change neighborhood**

➤ Two solutions  $x$  and  $y$  are neighbors iff  **$Hamming(x,y)=1$**

0 1 0 0 1 0 1 1 1 0

1 1 0 0 1 0 1 1 1 0

0 0 0 0 1 0 1 1 1 0

0 1 1 0 1 0 1 1 1 0

0 1 0 1 1 0 1 1 1 0

0 1 0 0 0 0 1 1 1 0

0 1 0 0 1 1 1 1 1 0

0 1 0 0 1 0 0 1 1 0

0 1 0 0 1 0 1 0 1 0

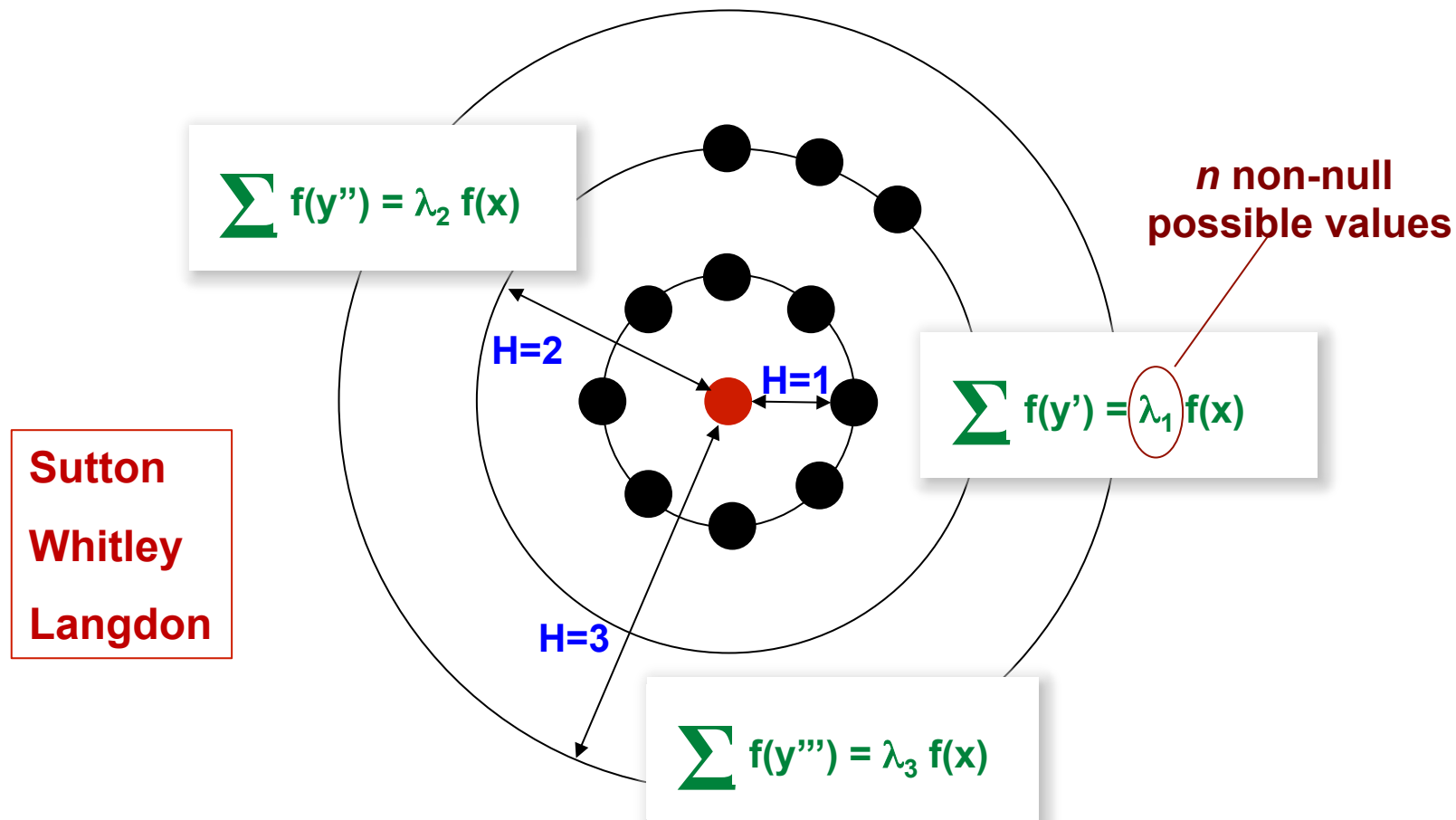
0 1 0 0 1 0 1 1 0 0

0 1 0 0 1 0 1 1 1 1



# Spheres around a Solution

- If  $f$  is elementary, the average of  $f$  in any sphere and ball of any size around  $x$  is a linear expression of  $f(x)$ !!!

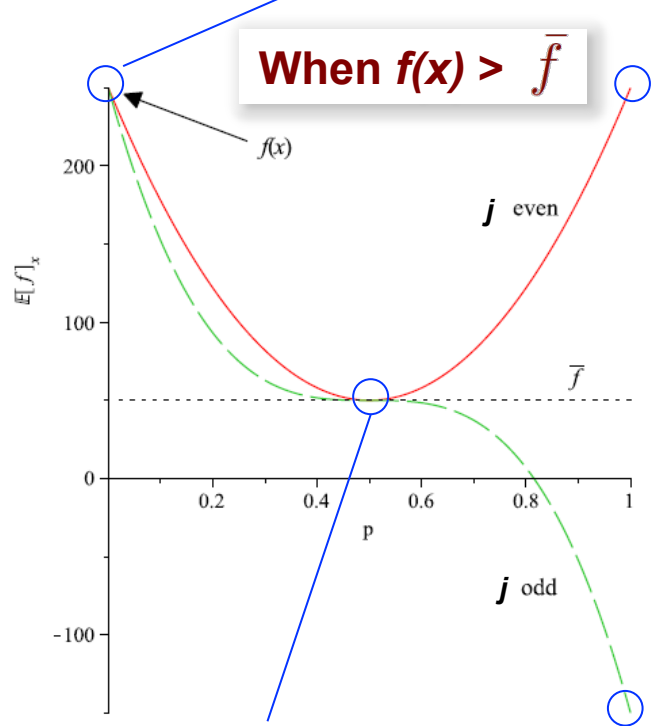


# Bit-flip Mutation: Elementary Landscapes

- Analysis of the **expected fitness**

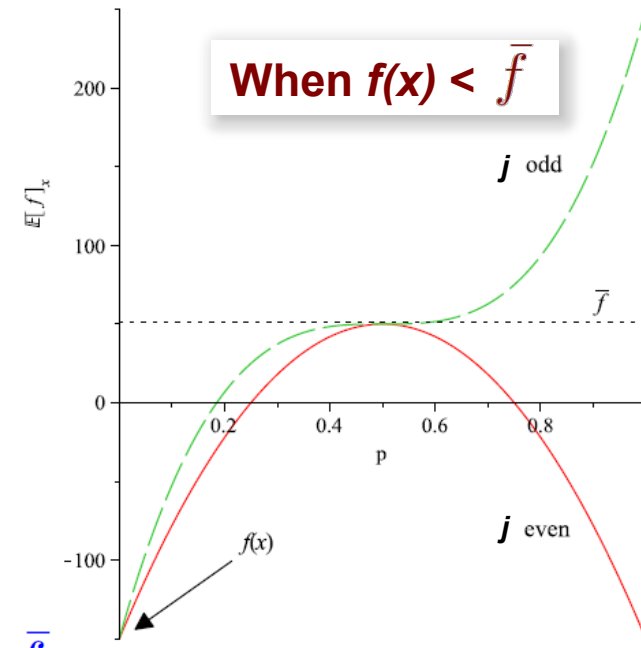
$$\mathbb{E}[f]_x = \bar{f} + (1 - 2p)^j (f(x) - \bar{f})$$

$p=0 \rightarrow$  fitness does not change



$p=1 \rightarrow$  the same fitness

**Sutton**  
**Whitley**  
**Howe**  
**Chicano**  
**Alba**



$p=1/2 \rightarrow$  start from scratch

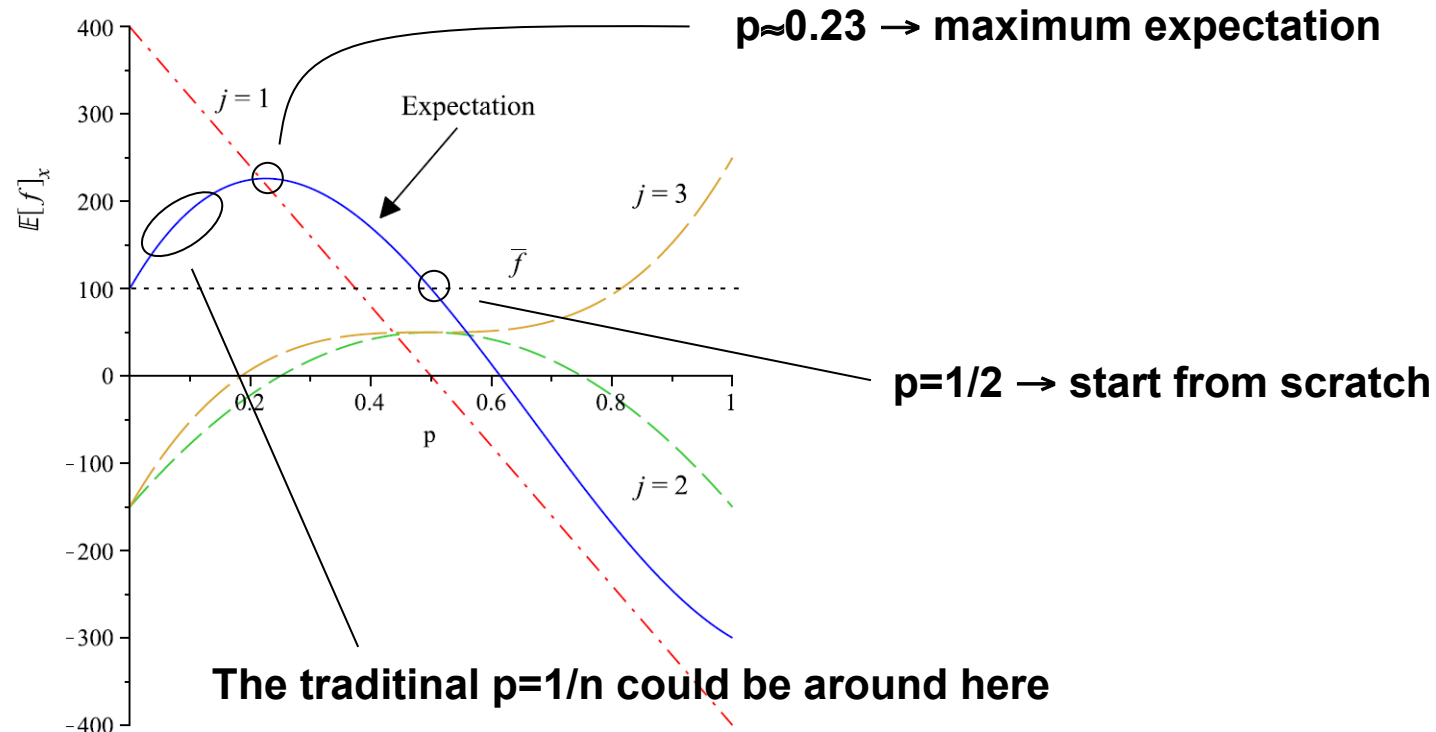
$p=1 \rightarrow$  flip around  $\bar{f}$

# Bit-flip Mutation: General Case

- Analysis of the **expected fitness**
- Example ( $j=1,2,3$ ):

$$\mathbb{E}[f]_x = \bar{f} + \sum_{j=1}^n (1 - 2p)^j (\Omega_{2j}(x) - \overline{\Omega_{2j}})$$

$$f = \Omega_2 + \Omega_4 + \Omega_6$$



# Elementary Landscape Decomposition of $f$

- The elementary landscape decomposition of

$$f(x) = \sum_{i=1}^k \max_{j=1}^n \{T_{ij} x_j\} - c \cdot ones(x)$$

Computable in  
 **$O(nk)$**

is

Tests that cover  $m_i$

$$f^{(0)}(x) = \sum_{i=1}^k \left( 1 - \frac{1}{2^{|V_i|}} \right) - c \cdot \frac{n}{2} \quad \leftarrow \text{constant expression}$$

$$f^{(1)}(x) = - \sum_{i=1}^k \frac{1}{2^{|V_i|}} (-1)^{n_1^{(i)}} \mathcal{K}_{|V_i|-1, n_1^{(i)}}^{|V_i|} - c \cdot \left( ones(x) - \frac{n}{2} \right)$$

**Krawtchouk matrix**

$$f^{(p)}(x) = - \sum_{i=1}^k \frac{1}{2^{|V_i|}} (-1)^{n_1^{(i)}} \mathcal{K}_{|V_i|-p, n_1^{(i)}}^{|V_i|} \quad \text{where } 1 < p \leq n$$

Tests in the solution that cover  $m_i$

# Elementary Landscape Decomposition of $f^2$

- The elementary landscape decomposition of  $f^2$  is

$$(f^2)^{(0)}(x) = \beta^2 + \frac{c^2}{4}n - \sum_{i=1}^k \frac{c|V_i| + 2\beta}{2^{|V_i|}} + \sum_{i,i'=1}^k \frac{1}{2^{|V_i \cup V_{i'}|}}$$

Computable in  
 $O(nk^2)$

$$\beta = k - cn/2$$

Number of tests that cover  $m_i$  or  $m_{i'}$

$$(f^2)^{(p)}(x) = - \sum_{i=1}^k \left( \frac{(c|V_i| + 2\beta)(-1)^{n_1^{(i)}}}{2^{|V_i|}} \mathcal{K}_{|V_i|-p, n_1^{(i)}} \right) \quad p > 2$$

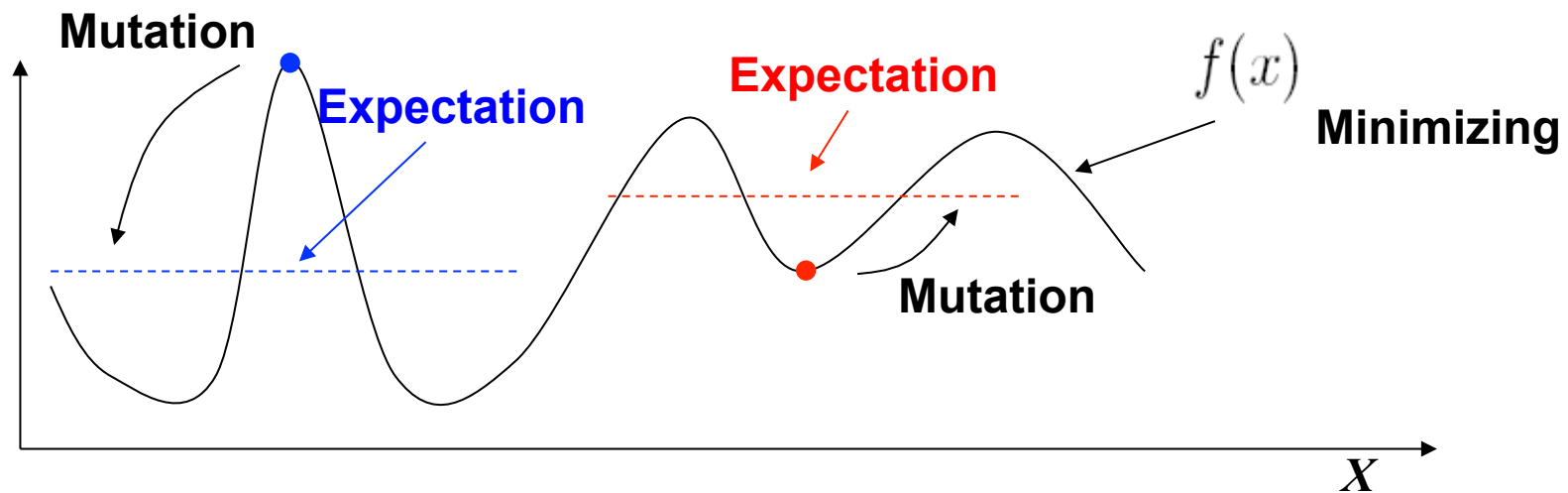
$$+ \sum_{i,i'=1}^k \left( \frac{(-1)^{n_1^{(i \cup i')}}}{2^{|V_i \cup V_{i'}|}} \mathcal{K}_{|V_i \cup V_{i'}|-p, n_1^{(i \cup i')}} \right)$$

$$- c \sum_{i=1}^k \frac{(-1)^{n_1^{(i)}}}{2^{|V_i|}} \mathcal{K}_{|V_i|-p+1, n_1^{(i)}} \left( n - 2ones(x) - |V_i| + 2n_1^{(i)} \right)$$

Number of tests in the solution that cover  $m_i$  or  $m_{i'}$

# Selection Operator

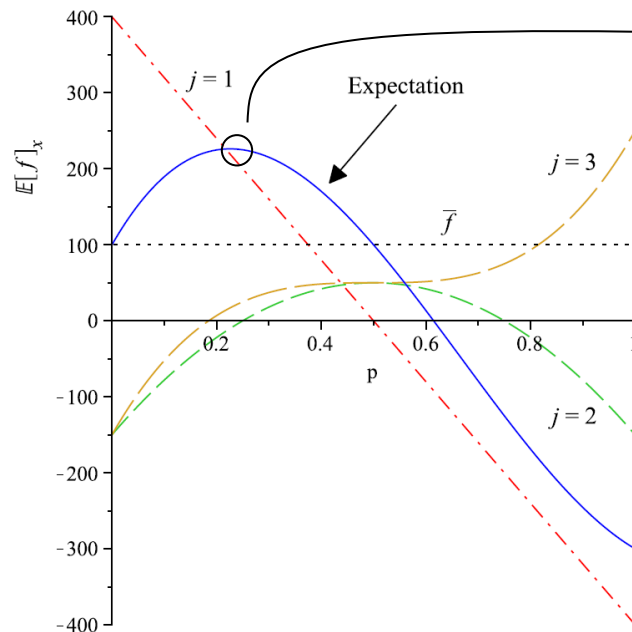
- Selection operator



- We can design a selection operator selecting the individuals according to the **expected fitness value after the mutation**

# Mutation Operator

- Mutation operator
- Given one individual  $x$ , we can compute the expectation against  $p$



1. Take the probability  $p$  for which the expectation is maximum
2. Use this probability to mutate the individual

- If this operator is used the **expected improvement is maximum in one step**  
(Sutton, Whitley and Howe in GECCO 2011)

# Guarded Local Search

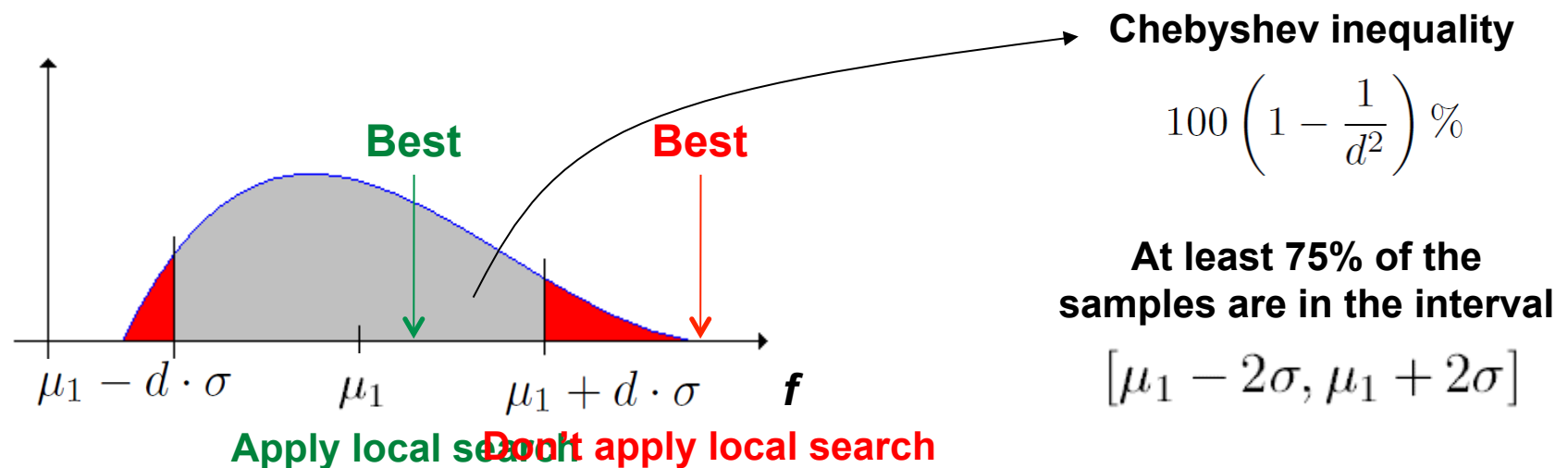
- With the Elementary Landscape Decomposition (ELD) we can compute:

$$\mu_c = \text{avg}_{y|\mathcal{H}(y,x)=r} \{f^c(y)\} = \binom{n}{r}^{-1} \sum_{p=0}^n \mathcal{K}_{r,p}^{(n)} (f^c)^{(p)}(x)$$

- With the ELD of  $f$  and  $f^2$  we can compute for any sphere and ball around a solution:

$$\mu_1 : \text{the average} \quad \sigma = \sqrt{\mu_2 - \mu_1^2} : \text{the standard deviation}$$

- Distribution of values around the average

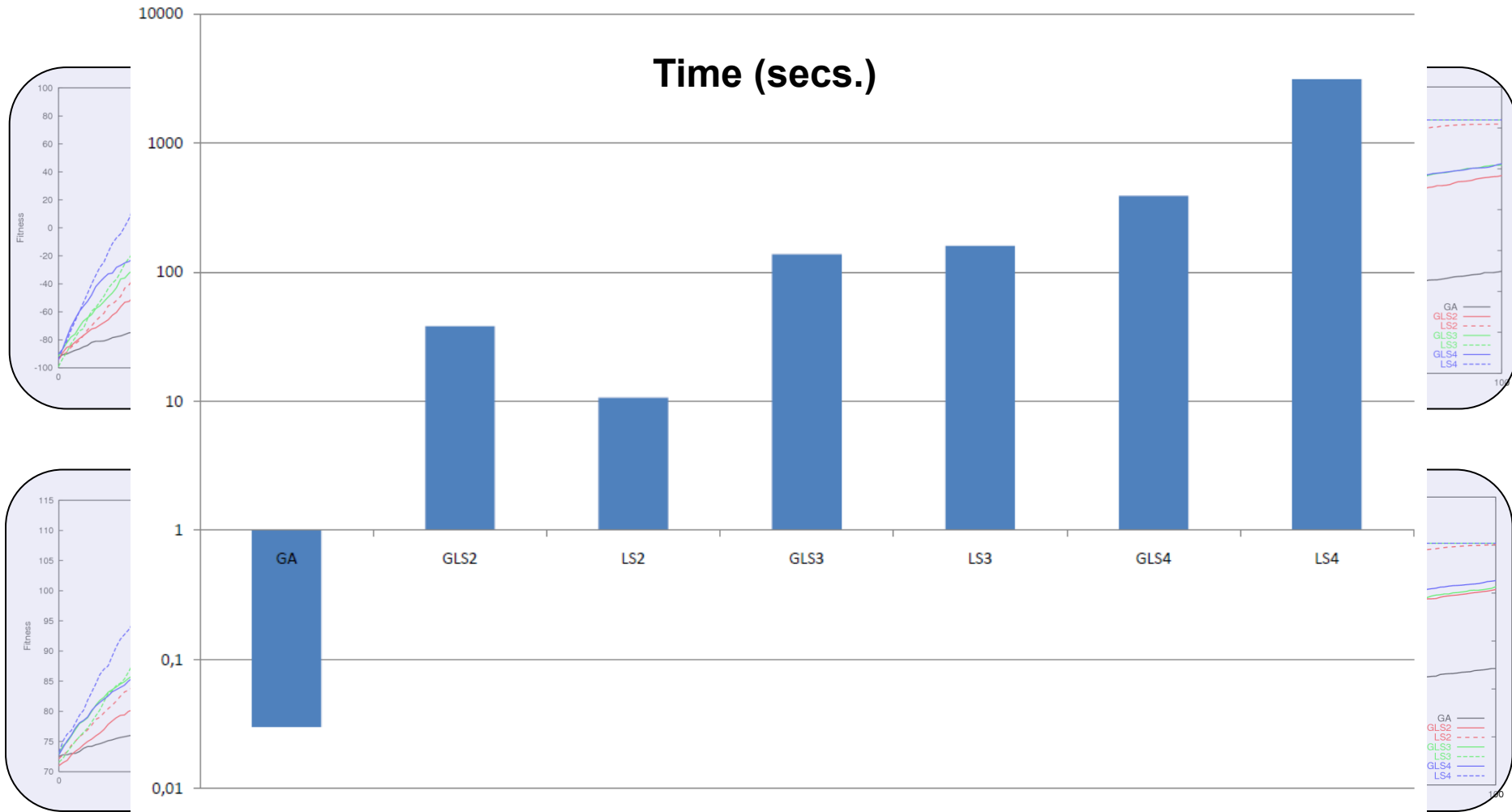




# Guarded Local Search: Experimental Setting

- **Steady state genetic algorithm:** bit-flip ( $p=0.01$ ), one-point crossover, elitist replacement
    - **GA** (no local search)
    - **GLSr** (guarded local search up to radius  $r$ )
    - **LSr** (always local search in a ball of radius  $r$ )
  - **Instances from the Software-artifact Infrastructure Repository (SIR)**
    - printtokens
    - printtokens2
    - schedule
    - schedule2
    - totinfo
    - replace
- Oracle cost  $c=1..5$**   
 **$n=100$  test cases**  
 **$k=100-200$  items to cover**  
**100 independent runs**

# Guarded Local Search: Results



# Conclusions & Future Work

## Conclusions

- Landscape theory provides a **promising technique to analyze SBSE problems**
- We give the elementary landscape decomposition of the **test suite minimization problem**
- Using the ELD we can **efficiently compute statistics** in the neighbourhood of a solution
- We provide a proof-of-concept by proposing a **Guarded Local Search** operator using the information gained with the ELD

## Future Work

- The main drawback of the GLD is runtime: **parallelize computation with GPUs**
- Expressions for **higher order moments** (ELD of  $f^c$ )
- **Remove** the current **constraint** on the oracle cost
- Connection with moments of **MAX-SAT**

# Elementary Landscape Decomposition of the Test Suite Minimization Problem



Thanks for your attention !!!

